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UTIL PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attomey Docket No.

70087 (JT)

First Named Inventor or Application Identifier

Kevin J. Dowling, et al.

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APPLICATION ELEMENTS ADDRESS Box Patent Application Commissioner for Patents See MPEP chapter 600 concerning utility patent application contents TO: Washington, DC 20231 8. CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix) (Submit an original, and a duplicate for fee processing) Nucleotide and/or Amino Acid Sequence 9. 2. Applicant claims small entity status. Submission (if applicable, all necessary) See 37 CFR 1.27. ☐ Computer Readable Form (CRF) ☐ Statement filed in prior application, ☐ Specification Sequence Listing on: Status still proper and desired. i. D CD-ROM or CD-R (2 copies); or ii. paper (identical to computer copy) ☐ Statement verifying identity of above copies 3. Specification [Total pages 97] 59 - pages description **ACCOMPANYING APPLICATION PARTS** 1 - pages abstract 37 - pages claims 322 - Total claims 10. 🗆 Assignment Papers/cover sheet & documents(s) 4. ☑ Drawing(s) (35 USC 113) [Total sheets - 16] 11. 🗆 37 CFR 3.73(b) Statement ☐ Informal X Formal [Total drawings - 16] (when there is an assignee) Power of Attorney 5. Oath or Declaration [Total pages] 12. English Translation of Document (if applicable) a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) 13. Information Disclosure Statement PTO-1449 (for continuation/divisional with Box 18 completed) □ Copies of IDS Citations [Note Box 6 below] **Preliminary Amendment** ☐ DELETION OF INVENTOR(S) 14. Signed statement attached deleting inventor(s) named in the prior application, Return Receipt Postcard (MPEP 503) 15. ⊠ see 37 CFR 1.63(d)(2) and 1.33(b). (Should be specifically itemized) 6. ☐ Incorporation by Reference Certified Copy of Priority Document(s) 16. 🗆 (usable if Box 5b is checked) (if foreign priority is claimed) The entire disclosure of the prior application, from which a copy of the oath or declaration is Request and Certification Under 35 U.S.C. 17. supplied under Box 4b, is considered as being §122(b)(2)(B))(ii) part of the disclosure of the accompanying application and is hereby incorporated by 18. □ Other: reference therein. See 19. 7. Application Data Sheet, See 37 CFR 1.76

19. If a CONTINUING LICATION, check appropriate box and supply the quisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

□ Continuation □ Divisional ☒ Continuation-in-part (CIP) of prior application Nos. U.S. Patent Application No. 09/215,624 "Smart Lightbulb," U.S. Patent Application No. 09/213,607 "Sensor Feedback Illumination Methods and Systems," U.S. Patent Application No. 09/213,189 "Precision Illumination Methods and Systems," U.S. Patent Application No. 09/213,581 "Kinetic Illumination Methods and Systems," U.S. Patent Application No. 09/213,540 "Data Delivery Track," U.S. Patent Application No. 09/333,739 "Diiffuse Illumination Methods and Systems," U.S. Patent Application No. 09/626,905 "Illumination Components," U.S. Patent Application No. 09/742,017 "Lighting Entertainment System," U.S. Patent Application No. 09/616,214 "Systems and Methods for Authoring Lighting Sequences," United States Patent Application No. 09/815,418, entitled "Lighting Entertainment System," United States Patent Application No. 09/805,590, entitled "Light Emitting Diode Based Products," United States Patent Application No. 09/805,590, entitled "Light Emitting Diode Based Products," a United States Patent Application entitled "Systems and Methods for Color Changing Device and Enclosure," filed July 26, 2001, a United States Patent Application entitled "Ultraviolet Light Emitting Diode Systems and Methods," filed August 6, 2001, and United States Patent Application No. 09/886,958, entitled "Method and Apparatus for Controlling a Lighting System in Response to an Audio input.

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

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